

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SEP 06 2005

In re Application of:

Daniel Edward Bowen III et al

For: MODIFIED SILANE COMPOUNDS

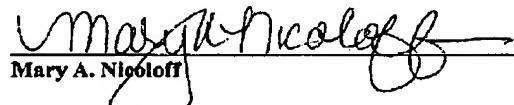
Serial No.: 10/699,898

Filed: November 3, 2003

) Docket No. DN2001-163D07

) Art Unit: 1626

) Examiner: Choi, Ling Siu

) I hereby certify that this correspondence is being facsimile
transmitted to the United States Patent and Trademark
Office to facsimile number (571) 273-8300 on September 6,
2005.
Mary A. Nicoloff

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE IN COMPLIANCE WITH 37 C.F.R. §1.98

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, the Applicants are calling the following to the attention of the Patent Office and request that they be considered by the Examiner:

United States Patent 4,474,926

United States Patent 4,968,741

However, the above-listed references may not be prior art under 35 U.S.C. §102 and this document should not be construed as an admission that any of the above-listed references are prior art within the meaning of 35 U.S.C. §102.

United States Patent 4,474,926 may be relevant to the prosecution of the subject patent application because it discloses various water-reducible coating resins.

United States Patent 4,968,741 may be relevant to the prosecution of the subject patent application because it describes a coating for metal substrates which provides improved corrosion and rust resistance.

Form PTO-1449 is enclosed herewith.

Respectfully submitted,

Alvin S. Rockhill
Attorney for Applicant(s)

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Sheet 1 of 1

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. DN2001-163D07 | SERIAL NO. 10/699,898 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | |
| (Use several sheets if necessary) | | | |
| APPLICANT (S) Daniel Edward Bowen III et al | | | |
| FILING DATE November 3, 2003 | | GROUP 1626 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Sub-class | Filing Date if Appropriate |
|------------------|-----------------|--------------|----------------|-------|-----------|----------------------------|
| | 4,474,926 | Oct. 2, 1984 | Burroway | 524 | 710 | |
| | 4,968,741 | Nov. 6, 1990 | Burroway et al | 524 | 710 | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Sub-Class | Translation YES NO |
|------------------|-----------------|------|---------|-------|-----------|--------------------|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| Examiner Initial | |
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| EXAMINER | DATE CONSIDERED: |

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.